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10/024,065	12/18/2001	Hisashi Nagata	56800 (46547)	5285
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EDWARDS & ANGELL, LLP P.O. BOX 55874 BOSTON, MA 02205			EXAMINER LEWIS, DAVID LEE	
			ART UNIT 2673	PAPER NUMBER
DATE MAILED: 12/30/2005				

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary****Application No.**

10/024,065

**Applicant(s)**

NAGATA ET AL.

**Examiner**

David L. Lewis

**Art Unit**

2673

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 06 September 2005.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-39 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1, 3, 7, 8, 10-13, 16-22, 24, 27, 28, 30, 33, 35 and 38 is/are rejected.
- 7) ☒ Claim(s) 2, 4-6, 14, 15, 23, 25, 26, 29, 31, 32, 34, 36, 37 and 39 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |                                                                                                                                               |                                                                                         |
|-----------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                                                   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                                          | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>8/4/2005</u> . | 6) <input type="checkbox"/> Other: _____                                                |

## DETAILED ACTION

### *Allowable Subject Matter*

1. Claims 2, 4-6, 14, 15, 23, 25, 26, 29, 31, 32, 34, 36, 37, and 39 objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. Ino et al., fails to teach or suggest the above cited limitations. Particularly Ino et al. fails to teach

### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. **Claims 1, 8, 10-13, 17, 19-21, 24, 28, 33, and 38 are rejected under 35 U.S.C. 102(e) as being anticipated by Ino et al. (6424328 B1).**

**As in claims 1 and 8, Ino et al. teaches of a display device comprising: an active matrix substrate, column 3 lines 11-25;**

**a counter electrode, column 3 lines 11-25;**

a display medium layer interposed between the active matrix substrate and the counter electrode, **column 3 lines 11-25**;

and a plurality of pixels, **column 3 lines 11-25**,

wherein the active matrix substrate includes: a base plate, **figure 7A item 41**;

a plurality of pixel electrodes formed on the base plate, **figure 2 item 20**,

each said pixel electrode being associated with one of the plurality of pixels, **column 6 lines 35-60**;

a plurality of pixel switching elements, each said pixel switching element being connected to associated one of the pixel electrodes, **figure 2 item 21**;

a plurality of gate lines for controlling operations of the pixel switching elements, **figure 2 item 11**;

a plurality of data lines, each said data line being connected to associated ones of the pixel electrodes by way of associated ones of the pixel switching elements so as to supply a data signal there through, **figure 2 item 12**;

a plurality of data line switching elements, **figure 15 item 66**,

each said data line switching element having terminals, one of said terminals being connected to associated one of the data lines, **figure 15 item 62**;

a plurality of signal input terminals, each said signal input terminal being connected to another terminal of associated one of the data line switching elements and another

terminal of another associated one of the data line switching elements, **figure 15 item Qn, column 9 lines 45-65, column 10 lines 20-25;**

a data line branching section, which is provided between the signal input terminals and the data line switching elements, **figure 15 item Qn;**

and a control line, which is connected to the data line switching elements to selectively turn ON or OFF the data line switching elements, **figure 15 item 68,**

wherein a signal to turn ON the data line switching elements, **figure 16 item SL1-3,**

and a signal to turn ON the pixel switching elements, **figure 16 item Vg,**

have mutually different polarities, **figure 16 items Q(n), SL1-3, and Vg, column 11 lines 1-30.**

Wherein as shown in figure 16, the signal SL1-3 that turns on the data switching elements and the signal Vg that turns on the pixel switching elements, have periods of mutually different polarities. Wherein said mutually different polarities are inherent to the switching structure taught by Ino. The mutuality is governed by the switch control circuit 68 and the different polarities are governed by the image data output at Q(n) for each color which combines to form a pixel image. As shown in figures 15 and 16 the signal SL1, which corresponds to the data line switching element, has an opposite polarity to the signal Vg, which corresponds to the pixel switching elements, specifically when one of the signals SL2 or SL3 is activated in the high/ON state.

**As in claim 10, Ino et al. teaches** of an active matrix substrate comprising: a base plate, figure 7A item 41;

a plurality of pixel electrodes formed on the base plate, column 3 lines 11-24, figure 2 item 20;

a plurality of pixel switching elements, each said pixel switching element being connected to associated one of the pixel electrodes, figure 2 item 21;

a plurality of gate lines for controlling operations of the pixel switching elements, figure 2 item 11;

a plurality of data lines, each said data line being connected to associated ones of the pixel electrodes by way of associated ones of the pixel switching elements so as to supply a data signal there through, figure 2 item 12;

a plurality of data line switching elements, each said data line switching element having terminals, one of said terminals being connected to associated one of the data lines, figure 15 item 66;

a plurality of signal input terminals, each said signal input terminal being connected to another terminal of associated one of the data line switching elements and another terminal of another associated one of the data line switching elements, figure 15 item Q(n);

a data line branching section, which is provided between the signal input terminals and the data line switching elements, figure 15 item Q;

and a control line, which is connected to the data line switching elements to selectively turn ON or OFF the data line switching elements, figure 15 item 68,

wherein a signal to turn ON the data line switching elements and a signal to turn ON the pixel switching elements have mutually different polarities, figure 16 items Q(n), SL1-3, and Vg, column 11 lines 1-30.

Wherein said mutually different polarities are inherent to the switching structure taught by Ino. The mutuality is governed by the switch control circuit 68 and the different polarities are governed by the image data output at Q(n) for each color which combines to form a pixel image. As shown in figures 15 and 16 the signal SL1, which corresponds to the data line switching element, has an opposite polarity to the signal Vg, which corresponds to the pixel switching elements, specifically when one of the signals SL2 or SL3 is activated in the high/ON state.

**As in claim 11, Ino et al. teaches** of a display device comprising a display region unit, wherein the display region unit includes: a substrate on which a plurality of pixels are arranged in columns and rows, column 3 lines 11-24;

a driver for driving the pixels, column 3 lines 20-25;

and switching means, formed on the substrate, for changing an electrical connection state between the pixels and the driver, figure 15 item 66,

and wherein the switching means includes: a first switching element located closer to one of the pixels, figure 15 item 66Rn;

and a second switching element located closer to the driver, figure 66Gn,

and wherein a signal to turn ON the first switching element , figure 16 item SL1,

and a signal to turn ON the second switching element have mutually different polarities, figure 16 item SL2, column 11 lines 1-30.

Wherein said mutually different polarities are inherent to the switching structure taught by Ino. The mutuality is governed by the switch control circuit 68 and the different polarities are governed by the image data output at Q(n) for each color which combines to form a pixel image. As shown in figures 15 and 16 the signal SL1, which corresponds to the data line switching element, has an opposite polarity to the signal Vg, which corresponds to the pixel switching elements, specifically when one of the signals SL2 or SL3 is activated in the high/ON state.

**As in claim 12, Ino et al. teaches** of a method for driving a display device, the display device comprising: an active matrix substrate, figure 7A item 41;

a counter substrate, which is disposed so as to face the active matrix substrate and includes a counter electrode, column 3 lines 11-24;

and a display medium layer interposed between the active matrix and counter substrates, column 3 lines 11-24,

wherein the active matrix substrate includes: a base plate, figure 7A item 41;

a plurality of pixel electrodes formed on the base plate, column 3 lines 11-24, figure 2 item 20;

a plurality of pixel switching elements, each said pixel switching element being connected to associated one of the pixel electrodes, figure 2 item 21;

a plurality of gate lines for controlling operations of the pixel switching elements, figure 2 item 11;



a plurality of data lines, each said data line being connected to associated ones of the pixel electrodes by way of associated ones of the pixel switching elements so as to supply a data signal there through, figure 2 item 12;

a plurality of data line switching elements, each said data line switching element having terminals, one of said terminals being connected to associated one of the data lines, figure 15 item Q(n);

a plurality of signal input terminals, each said signal input terminal being connected to another terminal of associated one of the data line switching elements and another terminal of another associated one of the data line switching elements, figure 15 item Q(n+1);

a data line branching section, which is provided between the signal input terminals and the data line switching elements, figure 15 item Q;

and a control line, which is connected to the data line switching elements to selectively turn ON or OFF the data line switching elements, figure 15 item 68,

wherein a signal to turn ON the data line switching elements and a signal to turn ON the pixel switching elements have mutually different polarities, figure 16 items Q(n), SL1-3, and Vg,

and wherein the method drives the display device in such a manner that an interval, in which one of the pixel switching elements is turned OFF to hold a potential level of associated one of the data lines as applied to associated one of the pixel electrodes, overlaps at least partially with an interval, in which one of the data line switching elements that is associated with the data line is turned OFF to hold a potential level of the data signal on the data line, for the pixel electrode and the counter electrode that

face each other via the display medium layer, figure 16 items Q(n), SL1-3, and Vg, column 11 lines 1-30.

Wherein said mutually different polarities are inherent to the switching structure taught by Ino. The mutuality is governed by the switch control circuit 68 and the different polarities are governed by the image data output at Q(n) for each color which combines to form a pixel image. As shown in figures 15 and 16 the signal SL1, which corresponds to the data line switching element, has an opposite polarity to the signal Vg, which corresponds to the pixel switching elements, specifically when one of the signals SL2 or SL3 is activated in the high/ON state.

**As in claims 13 and 19, Ino et al. teaches** of a display device comprising: a pair of substrates that: is disposed so as to face each other and be spaced apart from each other, column 3 lines 11-24;

a display medium layer interposed between the pair of substrates, column 3 lines 5-24;

and a plurality of pixels, wherein a plurality of counter signal electrodes, each of which extends in a column direction and through which a data signal is supplied, are formed on one of the pair of substrates, column 3 lines 11-24,

and wherein the other of the pair of substrate includes: a plurality of pixel electrodes arranged in matrix, figure 2 item 20,

each said pixel electrode being associated with one of the plurality of pixels, figure 7A items 42, 48, and 49;

a plurality of pixel switching elements, each of which is connected to associated one of the pixel electrodes, figure 2 item 21;

a plurality of gate lines, which extend in a row direction and are used for controlling operations of the pixel switching elements, figure 2 item 11;

and a plurality of common lines, each of which is connected to associated ones of the pixel electrodes by way of associated ones of the pixel switching elements, figure 2 item Vcom,

and wherein the display device further includes a plurality of signal electrode switching elements, each of which is connected to associated one of the counter signal electrodes and controls supply of the data signal to the counter signal electrode, figure 15 item 66,

and wherein a signal to turn ON the signal electrode switching elements and a signal to turn ON the pixel switching elements have the same polarity, figure 16 items SL1-3 and Vg, column 11 lines 1-30.

Wherein said opposite electrode is inherent to the structure of Ino as known in the art and used for the purpose of creating an electric field across the display element altering the display contrast to form an image. As shown in figures 15 and 16 the signal SL1, which corresponds to the data line switching element, has an opposite polarity to the signal Vg, which corresponds to the pixel switching elements, specifically when one of the signals SL2 or SL3 is activated in the high/ON state, and has the same polarity to the signal Vg, specifically when neither of the signals SL2 or SL3 is activated.

**As in claim 20, Ino et al. teaches** of a method for driving a display device, the display device including: a pair of substrates that is disposed so as to face each other and be spaced apart from each other, column 3 lines 11-24;

and a display medium layer interposed between the pair of substrates, wherein a plurality of counter signal electrodes, each of which extends in a column direction and

through which a data signal is supplied, are formed on one of the pair of substrates, column 3 lines 5-24, figure 7,

and wherein the other of the pair of substrates includes: a plurality of pixel electrodes arranged in matrix, figure 2 item 20;

a plurality of pixel switching elements, each of which is connected to associated one of the pixel electrodes, figure 2 item 21;

a plurality of gate lines, which extend in a row direction and are used for controlling operations of the pixel switching elements, figure 2 item 11;

and a plurality of common lines, each of which is connected to associated ones of the pixel electrodes by way of associated ones of the pixel switching elements, figure 2 item Vcom,

and wherein the display device further includes a plurality of signal electrode switching elements, each of which is connected to associated one of the counter signal electrodes and controls supply of the data signal to the counter signal electrode, figure 15 item 66,

and wherein the method drives the display device in such a manner that an interval, in which one of the pixel switching elements is turned OFF to hold a potential level on associated one of the common lines as applied to associated one of the pixel electrodes, figure 16 item Vg,

overlaps at least partially with an interval, in which one of the signal electrode switching elements is turned OFF to hold a potential level of the data signal as applied to associated one of the counter signal electrodes, for the pixel electrode and the counter signal electrode that face each other via the display medium layer, figure 16 item SL1-3, Vcom, column 11 lines 1-30.

Wherein said opposite electrode is inherent to the structure of Ino as known in the art and used for the purpose of creating an electric field across the display element altering the display contrast to form an image. As shown in figures 15 and 16 the signal SL1, which corresponds to the data line switching element, has an opposite polarity to the signal Vg, which corresponds to the pixel switching elements, specifically when one of the signals SL2 or SL3 is activated in the high/ON state.

**As in claim 21, Ino et al. teaches** of a display device comprising: a pair of substrates that is disposed so as to face each other and be spaced apart from each other, column 3 lines 11-24;

and a display medium layer interposed between the pair of substrates, wherein a plurality of counter signal electrodes, each of which extends in a column direction and through which a data signal is supplied, are formed on one of the pair of substrates, column 3 lines 5-24,

and wherein the other substrate of pair of includes: a plurality of pixel electrodes arranged in matrix, figure 2 item 20;

a plurality of pixel switching elements, each of which is connected to associated one, of the pixel electrodes, figure 2 item 21;

a plurality of gate lines, which extend in a row direction and are used for controlling operations of the pixel switching elements, figure 2 item 11;

and a plurality of common limes, each of which is connected to associated ones of the pixel electrodes by way of associated ones of the pixel switching elements, figure 2 item Vcom,

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and wherein the display device further includes a plurality of signal electrode switching elements, figure 15 item 66,

each of which is connected to associated one of the counter signal electrodes and controls supply of the data signal to the counter signal electrode, figure 15 items 64 and 68.

As shown in figures 15 and 16 the signal SL1, which corresponds to the data line switching element, has an opposite polarity to the signal Vg, which corresponds to the pixel switching elements, specifically when one of the signals SL2 or SL3 is activated in the high/ON state.

**As in clams 24, 28, 33, and 38**, Ino et al. teaches of wherein voltages supplied to the data lines and the signal lines have mutually different polarity, figure 16 items Q(n), SL1-3, and Vg, column 11 lines 1-30.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**3. Claims 3, 7, 16, 18, 22, 27, 30, and 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ino et al. (6424328 B1).**

**As in claims 3, 7, and 16**, Ino et al. fails to teach of said TFT's having channels extending in parallel with each other, or of said deposition on a base plate, however

said features would have been an obvious design choice in view of known semiconductor processing techniques available to the skilled artisan, given the known uniformity of device characteristics for a matrix display system.

**As in claims 22, 27, 30, and 35 Ino et al.** fails to teach of said data line switching and pixel switching, and first and second switching elements being almost equal in potential drop, however said features would have been an obvious design choice in view of known semiconductor processing techniques available to the skilled artisan, given the known uniformity of device characteristics within a matrix display system.

**As in claim 18, Ino et al.** fails to teach of said switching element and said pixel switching element are both pchannel transistors, however said features would have been an obvious design choice in view of known semiconductor processing techniques available to the skilled artisan, given the teaching of both being nchannel transistors.

### ***Response to Arguments***

4. Applicant's arguments filed 9/6/2005 have been fully considered but they are not persuasive. Applicant argues there is no discussion anywhere in Ino regarding Q(n) that discloses or describes that the signals being applied to the data lines switching elements and the pixel switching elements to turn these switching elements ON have mutually different polarities. The Examiner disagrees because said mutually different polarities are inherent to the switching structure taught by Ino. Wherein as shown in figure 16, the signal SL1-3 that turns on the data switching elements and the signal Vg that turns on the pixel switching elements, have periods of mutually different polarities. Wherein said mutually different polarities are inherent to the switching structure taught by Ino. The mutuality is governed by the switch control circuit 68 and the different polarities are governed by the image data output at Q(n) for each color which combines to form a pixel image. As shown in figures 15 and 16 the signal SL1, which

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corresponds to the data line switching element, has an opposite polarity to the signal  $V_g$ , which corresponds to the pixel switching elements, specifically when one of the signals SL2 or SL3 is activated in the high/ON state. Rejection maintained.

### **Conclusion**

5. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a). A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.


6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to **David L. Lewis** whose telephone number is **(571) 272-7673**. The examiner can normally be reached on MT and THF from 8 to 5. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bipin Shalwala, can be reached on **(571) 272-7681**. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (571)-273-8300.



7. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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Examiner: David L. Lewis  
December 26, 2005

  
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SUPERVISORY PATENT EXAMINER  
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